THE ROLE OF BIOMARKER TESTING IN ADVANCED NSCLC

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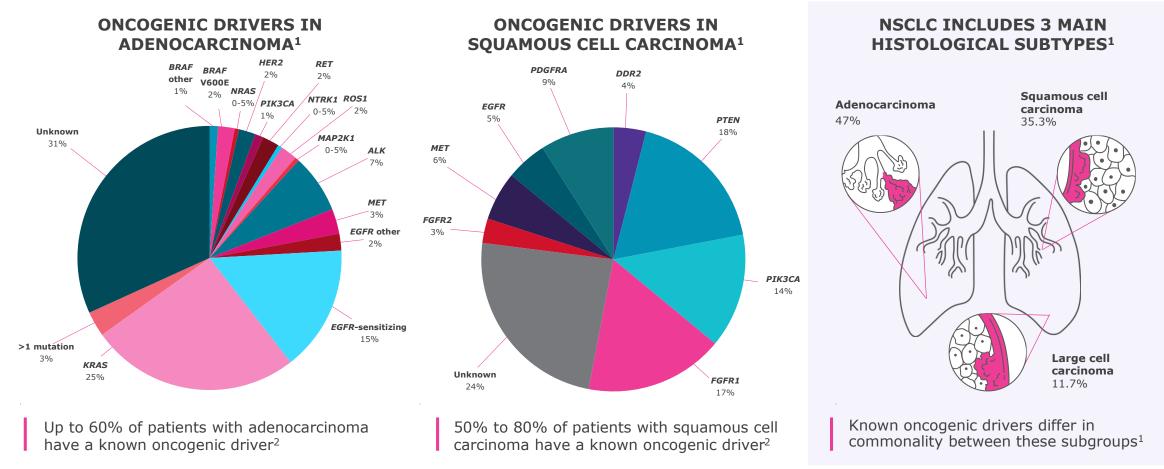


OVERVIEW OF BIOMARKERS IN NSCLC

Known biomarkers and use of biomarker testing for patient care



NSCLC is a heterogenous group of diseases with distinct histological subtypes and numerous oncogenic drivers



Oncogenic drivers may serve as prognostic or predictive biomarkers to help guide patient management³



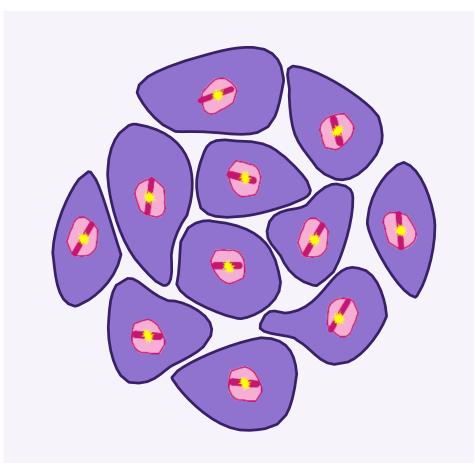
Characteristics of patients with different driver mutations*

	Age (yrs) Mean ± SD	Smoking	history (%)	Gender (%)		Stage (%)	
Mutation		Ever smoked	Never smoked	Female	Male	IA-IIIA	IIIB-IV
ALK positive	55.0 ± 13.7	41.7	58.3	48.1	51.9	70.4	39.6
EGFR positive	63.5 ± 10.9	32.1	67.9	54.4	45.6	84.2	15.8
KRAS positive	64.7 ± 9.1	79.6	20.4	18.0	82.0	86.4	13.6
METex14 skipping	73.7 ± 11.6	50.0	50.0	38.9	61.1	83.3	16.7
METamp (high)	65.5 ± 11.7	100.0	0.0	12.5	87.5	57.1	42.9
ROS1 positive	53.9 ± 16.2	42.9	57.1	60.0	40.0	40.0	60.0

*Note that the data presented may have been calculated from small population sizes (range: 8-180).¹



Importance of biomarker testing in NSCLC¹⁻³



- NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) recommend biomarker testing in all appropriate patients with NSCLC based on data showing clinical benefit for patients receiving appropriate targeted therapy or immunotherapy as opposed to chemotherapy options¹
 - Predictive biomarkers are indicative of therapeutic efficacy because there is an interaction between the biomarker and therapy on patient outcome¹
 - **Prognostic biomarkers** are indicative of patient survival independent of the treatment received¹
- Molecular testing to detect actionable targets as part of a diagnostic work-up can help **personalize care**
- Longitudinal biomarker testing can provide insights into tumor evolution, heterogeneity, and resistance



NCCN, National Comprehensive Cancer Network; NSCLC, non-small cell lung cancer.

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Current actionable biomarkers in metastatic NSCLC according to NCCN Guidelines^{®1}

Patients receiving appropriate targeted therapy or immunotherapy based on biomarker testing show greater clinical benefit as opposed to patients receiving chemotherapy*

PREDICTIVE BIOMARKERS ASSOCIATED WITH RESPONSIVENESS TO TARGETED THERAPY

- EGFR⁺ mutations such as exon 19 indels, exon 20 mutations (eg, p.T790M), or exon 21 mutations (eg, p.L858R)
- *ALK*[†] rearrangements
- *ROS1*⁺ gene fusions
- BRAF V600E point mutations
- ERBB2 (HER2) mutations
- KRAS G12C point mutations
- *MET*ex14 skipping mutations
- RET gene rearrangements
- *NTRK1/2/3* gene fusions

PREDICTIVE BIOMARKERS ASSOCIATED WITH RESPONSIVENESS TO IMMUNOTHERAPY

• PD-L1 protein expression level

EMERGING BIOMARKERS

• High-level *MET* amplification[‡]

^{*}The NCCN Guidelines® for NSCLC provide recommendations for individual biomarkers that should be tested and recommend testing techniques but do not endorse any specific commercially available biomarker assays or commercial laboratories.¹ [†]Considered must test biomarkers by CAP-IASLC molecular testing guidelines.² [†]The definition of high-level *MET* amplification is evolving and may differ according to the assay used for testing. For NGS-based results, a copy number greater than 10 is consistent with high-level *MET* amplification.¹

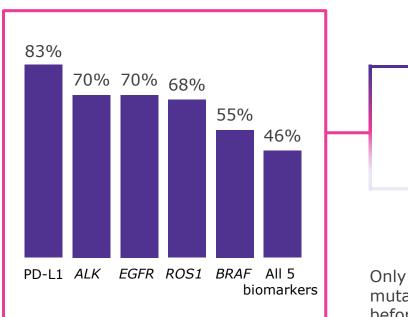


NCCN, National Comprehensive Cancer Network; METex14, MET exon 14; NSCLC, non-small cell lung cancer; PD-L1, programmed death ligand 1. 1. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) for Non-Small Cell Lung Cancer V.2.2023. © National Comprehensive Cancer Network, Inc. 2023. All rights reserved. Accessed March 2, 2023. T view the most recent and complete version of the guideline, go online to NCCN.org. 2. Lindeman NI et al. J Mol Diagn. 2018;20(2):129-159.

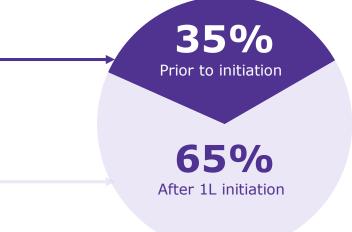
Despite the identification of actionable biomarkers and known patient benefit, biomarker testing may be limited

Although biomarker testing rates have increased in the last few years, challenges to biomarker testing in NSCLC remain¹⁻³

BIOMARKER TESTING RATES^{1,*} (% OF PATIENTS TESTED; N=3474)



BIOMARKER TESTING PRIOR TO 1L INITIATION^{1,*}



Only about a third of patients with actionable mutations received biomarker testing results before the initiation of 1L therapy $^{\rm 1}$

Current challenges to biomarker testing include^{3,4}:

- Tissue sample adequacy
- Selecting the appropriate biomarker test
- Interpretation of biomarker test results
- Financial considerations
- Turnaround time for some results



1L, first line; NSCLC, non-small cell lung cancer; PD-L1, programmed death ligand 1.

1. Robert NJ, et al. Lung Cancer. 2022;166:197-204. 2. Griesinger F, et al. Lung Cancer. 2021;152:174-184. 3. Kim ES, et al. J Thorac Oncol. 2019;14(3):338-342. 4. Kerr KM, et al. Lung Cancer. 2021;154:161-175.



NSCLC tissue biopsy size is often limited – **NILE** study¹

A CORE LUNG BIOPSY* WILL GIVE 200 μm OF MATERIAL^1

Block trimming waste 10 µm

	NGS 10 x 5 µm for NGS testing = 50 µm for tests + wastage	Total = 60 µm
	ALK, ROS1, PD-L1 5 x 4 μm for ALK and ROS1 FISH/IHC and PD-L1 IHC = 20 μm for tests + wastage	Total = 30 µm
	EGFR 6 x 10 μm for <i>EGFR</i> testing = 60 μm for tests + wastage	Total = 70 µm
	H&E, IHC 1 x 4 μm H&E 4 x 4 μm additional Ab 2 x 4 μm controls = 28 μm for tests + wastage	Total = 38 μm

- Tissue biopsy is often small and sample amount may not be sufficient for testing all actionable biomarkers¹
- Use of multiplex arrays may increase efficiency with small tissue samples and allow simultaneous detection of multiple biomarkers²

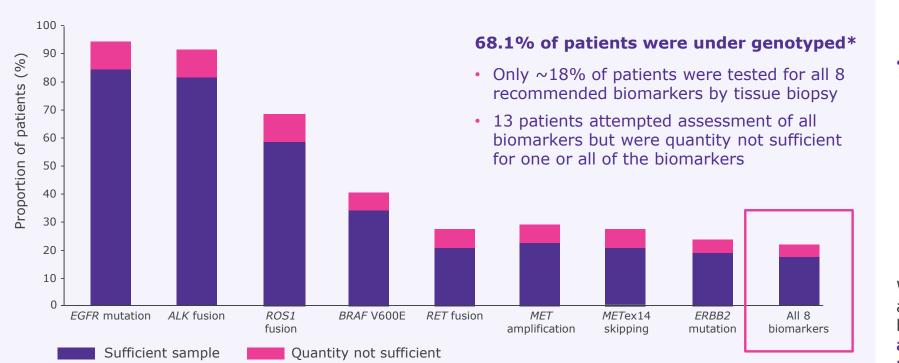
Total = 198 μm (leaving just 2 μm for additional testing)

*Core needle biopsies provide more intact material than fine needle aspiration.¹ Ab, antibody; FISH, fluorescence in situ hybridization; H&E, hematoxylin and eosin; IHC, immunohistochemistry; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PD-L1, programmed death ligand 1. 1. Data on file. 2. Engstrom PF, et al. JNCCN. 2011;9(6).



NSCLC tissue biopsy size is often limited – NILE study¹

PROPORTION OF PATIENTS WITH SUFFICIENT TISSUE FOR BIOMARKER ASSESSMENT



- Sequential biomarker testing using a tissue biopsy occurred in 84.8% of patients
- Of the patients with complete genotyping using a tissue sample:
 - 68.6% had comprehensive NGS genotyping
 - 31.3% had sequential testing of all 8 biomarkers

With cfDNA available, all 8 guideline-recommended biomarkers were fully assessed in 95% of patients

If all currently recommended tests are performed sequentially, there may not be sufficient sample to test all biomarkers



TESTING FOR BIOMARKERS IN NSCLC

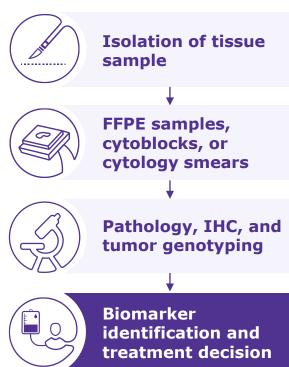
Technical approaches, testing needs, and clinical guideline recommendations



Sample collection – tissue biopsy

Tissue biopsy is well established and sensitive, but has significant challenges

Tissue biopsy^{1,2}



Advantages¹

- Highly specific and sensitive
- Allows assessment of both DNA and non-DNA biomarkers
- Provides pathology information
- Allows PD-L1 assessment

Disadvantages¹

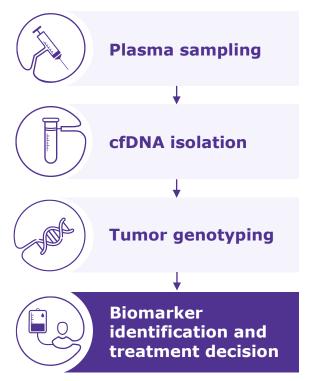
- May have longer turnaround time
- Limited tissue quantities
- Invasive
- Re-biopsy not always possible in case of progressive disease
- May not capture tumor heterogeneity



Sample collection – liquid biopsy

Liquid biopsy makes repeat sampling and detecting tumor heterogeneity easier, but may have limited sensitivity

Liquid biopsy^{1,2}



Advantages¹

- High concordance rate
 - May have rapid turnaround time
- Minimally invasive
- Repeatable over time
- Captures tumor heterogeneity and clonal evolution

Disadvantages¹

- Non-DNA biomarkers not evaluable
- Concurrent use with tissue testing can increase costs
- False negatives
- Low concentrations of ctDNA may be difficult to detect



Sample collection – National Comprehensive Cancer Network[®] (NCCN[®]) recommendations¹

The use of plasma cfDNA/ctDNA testing (plasma testing) for metastatic NSCLC **can be considered in specific clinical circumstances**:

- If a patient is medically unfit for invasive tissue sampling
- In the initial diagnostic setting following pathologic confirmation of NSCLC if there is insufficient material for molecular analysis and if follow-up tissue-based analysis is planned for patients without oncogenic drivers identified



Plasma cfDNA/ctDNA testing:

Should not be used in lieu of a histologic tissue diagnosis



Has very high specificity, but significantly compromised sensitivity (up to 30% false-negative rate)

Does not have established standards/guidelines for analytical performance characteristics

Can identify alterations that are unrelated to a lesion of interest

cfDNA, cell-free DNA; ctDNA, circulating tumor DNA; NCCN, National Comprehensive Cancer Network; NSCLC, non-small cell lung cancer.

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Overview of assessment techniques

Method	Used to assess/detect:	Sensitivity (%)	Turnaround time	Biopsy method ³	Point mutations	Small indels	CNAs	Rearrangements
PCR and Sanger sequencing ^{1,2}	DNA changes, including point mutations, insertions, or deletions	20 to 50	3 to 4 days	LiquidTissue	\checkmark	✓		
RT-PCR ^{1,2,4}	RNA expression, including fusion transcripts	0.00001	2 to 3 days	LiquidTissue	\checkmark	\checkmark		\checkmark
FISH ^{1,2}	Gene rearrangements including deletions, amplifications, translocations, and fusions	<1	2 to 3 days	• Tissue			~	\checkmark
NGS: targeted approach ^{1,2,5}	Genetic changes in multiple genes simultaneously	1 to 10	7 to 20 days	LiquidTissue	\checkmark	✓	\checkmark	May not reliably detect fusions
NGS: WES/ WGS ^{1,2,5}		Variable	Weeks	LiquidTissue	\checkmark	\checkmark	\checkmark	✓ (As long as in design)
IHC ^{2,5,6}	Protein expression, localization or specific alterations, including fusions	Variable	1 to 2 days	• Tissue				\checkmark

It is important to choose the technique that ensures accurate and reliable detection of the selected biomarkers within a reasonable turnaround time

^{1.} Pennell NA, et al. Am Soc Clin Oncol Educ Book. 2019;39:531-542. 2. El-Deiry WS, et al. CA Cancer J Clin. 2019;69(4):305-343. 3. Rolfo C, et al. J Thorac Oncol. 2021;16(10):1647-1662. 4. Tests used on biopsy and cytology specimens to diagnose cancer. American Cancer Society. https://www.cancer.org/treatment/understanding-your-diagnosis/tests/testing-biopsy-and-cytology-specimens-for-cancer/special-tests.html. Accessed March 2, 2023). 5. Dong J, et al. Front Pharmacol. 2019;10:230. 6. Doshi S, et al. Diagnostics (Basel). 2016;6(1):4.



CNA, copy number alteration; FISH, fluorescence in situ hybridization; IHC, immunohistochemistry; NGS, next-generation sequencing; PCR, polymerase chain reaction; RT-PCR, reverse-transcription PCR; WES, whole-exome sequencing; WGS, whole-genome sequencing.

Advantages and disadvantages of assessment techniques

	Protein			
NGS ¹⁻³	RT-PCR ³⁻⁵	Sanger sequencing ⁶	FISH ^{3,4}	IHC ^{4,7}
 High sensitivity Broad-panel testing Detects gene rearrangements Detects gene amplifications 	 Highly sensitive Detects fusion transcripts at the RNA level Detects gene rearrangements Turnaround time 	 Ability to identify all possible mutations in the analyzed fragment 	 Knowledge of fusion partner not required Rearrangements can be discriminated from polysomy/ amplifications 	 Sensitive Familiar Time saving and easily automatable Cost-friendly Many validated antibodies available
 Turnaround time Sophisticated bioinformatics needs Large data storage capabilities Reports can be hard to interpret Cost 	 Poor quality of FFPE RNA samples Limited number of variants tested at once 	 Low sensitivity assay requiring tumor enrichment 	 Not all rearrangements produce an expressed fusion transcript May miss unknown variants 	 May require confirmatory test Accuracy can vary by fixative and background Insufficient tumor content of tissue Skilled pathologist required



Recommended assays to assess for actionable biomarkers according to NCCN Guidelines^{1,*}

Diamanlar		PROTEIN				
Biomarker	NGS	Sanger ⁺	RT-PCR	PCR	FISH	IHC
EGFR	\checkmark	✓	\checkmark			
ALK	\checkmark		 ✓ (Unlikely to detect fusions with novel partners) 		\checkmark	\checkmark
ROS1	✓ (DNA-based NGS may under detect)		 ✓ (Unlikely to detect fusions with novel partners) 		✓ (May under-detect <i>FIG-ROS1</i> variant)	\checkmark (Low specificity)
BRAF	\checkmark	\checkmark	\checkmark			
KRAS	\checkmark	\checkmark	\checkmark			
<i>MET</i> ex14 skipping	✓ (RNA-based NGS may have improved detection)					
RET	\checkmark (RNA-based NGS preferred)		 ✓ (Unlikely to detect fusions with novel partners) 		\checkmark (May under-detect some variants)	
NTRK1/2/3	✓ (DNA-based NGS may under- detect NRTK1/3 fusions)			~	✓ (May require ≥3 probe sets for full analysis)	✓ (May be complicated by baseline expression)
ERBB2	\checkmark	\checkmark		✓		
PD-L1						 ✓ (Definition of positive or negative depends on assay)

*The NCCN Guidelines[®] for NSCLC provide recommendations for individual biomarkers that should be tested and recommend testing techniques but do not endorse any specific commercially available biomarker assays or commercial laboratories.¹
[†]Ideally paired with tumor enrichment.¹

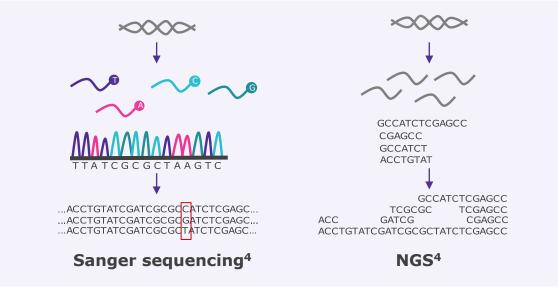
FISH, fluorescence in situ hybridization; IHC, immunohistochemistry; *MET*ex14, *MET* exon 14; NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PCR, polymerase chain reaction; PD-L1, programmed death ligand 1; RT-PCR, reverse-transcription PCR.

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NCCN Guidelines recommend a broad, panel-based approach to test for biomarkers prior to initiating treatment in eligible patients with metastatic NSCLC¹

NGS can provide a large profile of oncogenic alterations at a point in the patient's journey without sequential testing, with limited tissue sample and through either tissue or plasma testing (also known as liquid biopsy)^{2,3}



Adapted from Parikh et al. 2017.

Additional benefits of NGS⁵:

- More cost effective than single gene testing
- May facilitate an increase in life-years gained in advanced NSCLC, a 10% increase in NGS use compared to single-gene testing resulted in 2630 life-years gained
- Easier to add new biomarker genes in patient assessment
- Can provide value for low frequency biomarkers

Testing tissue samples with NGS following a negative result with non-NGS methods revealed genomic alterations with a corresponding targeted therapy in 26% of retested samples, and a targeted agent in a clinical trial was available for 39% of retested samples⁶

NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer. 1. Adapted with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) for Non-Small Cell Lung Cancer V.2.2023. © 2023 National Comprehensive Cancer Network, Inc. All rights reserved. The NCCN Guidelines® and illustrations herein may not be reproduced in any form for any purpose without the express written permission of NCCN. To view the most recent and complete version of the NCCN Guidelines, go online to NCCN.org. The NCCN Guidelines are a work in progress that may be refined as often as new significant data becomes available. 2. Lindeman NI, et al. J Thorac Oncol. 2018;13(3):323-358. 3. Rolfo C, et al. J Thorac Oncol. 2019;13(9):1248-1268. 4. Parikh VN, Ashley EA. Circulation. 2017;135(5):406-409. 5. Kerr KM, et al. Lung Cancer. 2021;154:161-175. 6. Drilon A, et al. Clin Cancer Res. 2015;21(16):3831-3639.



DNA-based versus RNA-based NGS assays

NGS assays vary widely in the information they provide in terms of sensitivity, specificity, comprehensiveness, tissue requirements, and turnaround times

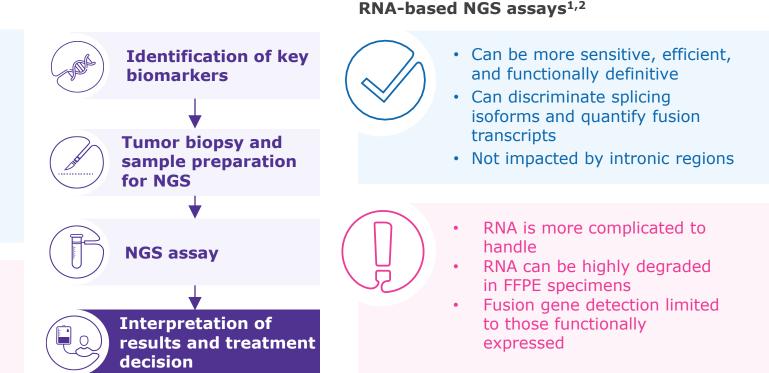
DNA-based NGS assays^{1,2}



- Allows the characterization of the exact gene fusion breakpoints and other genetic alterations
- Can detect genetic alterations
 that lead to aberrant isoforms
- Does not require an additional RNA purification step

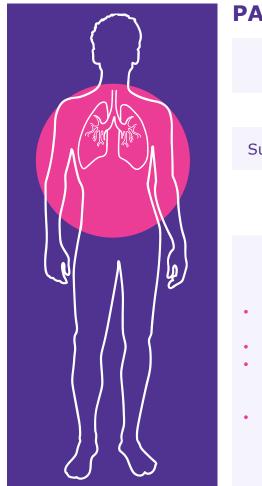
 Does not indicate expression of the rearranged locus of some fusion events

• Involves intronic regions

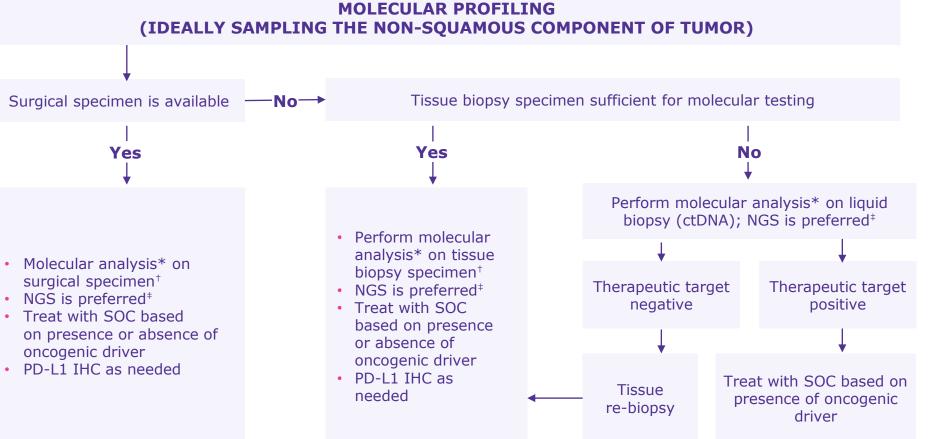




Biomarker testing to guide care of treatment-naive NSCLC¹



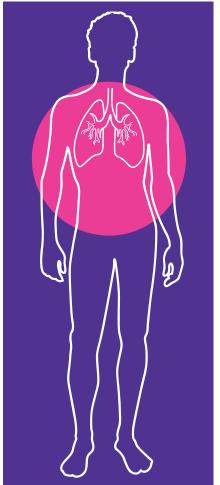
PATIENT WITH ADVANCED TREATMENT-NAIVE NSCLC



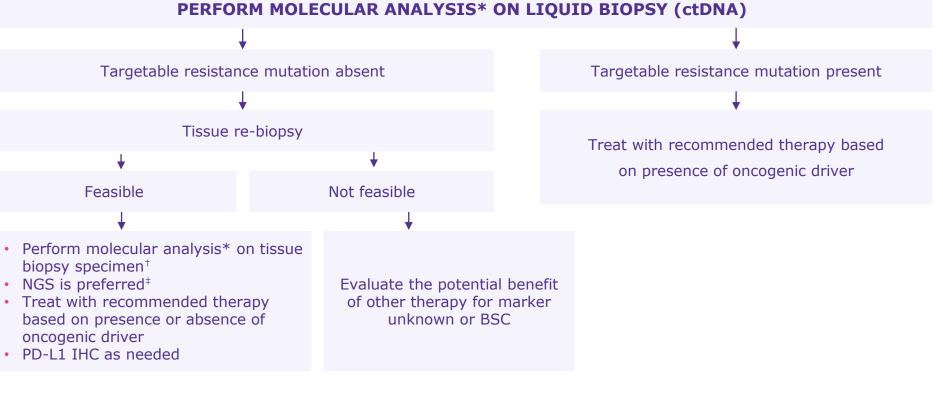
*EGFR, ALK, ROSI, and BRAF at minimum, but a panel if available. [†]Strongly suggest tissue sparing to facilitate participation in clinical trials. [‡]While NGS is preferred, based on availability, other validated assays are acceptable. ctDNA, circulating tumor DNA; IHC, immunohistochemistry; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PD-L1, programmed death ligand 1; SOC, standard of care. 1. Pennell NA et al. Am Soc Clin Oncol Educ Book. 2019;39:531–542.



Biomarker testing to guide care of progressive or recurrent NSCLC¹



PATIENT WITH NSCLC PROGRESSIVE OR RECURRENT DISEASE DURING TREATMENT WITH TKI



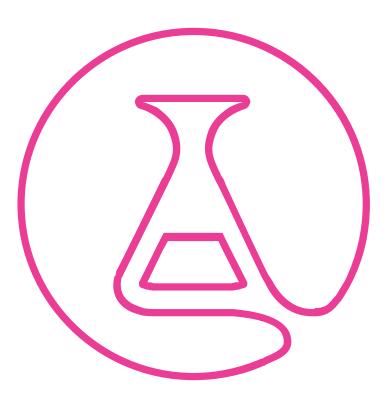
Retesting a tumor after progression on targeted therapy can support the appropriate next therapeutic steps²

*PCR for *EGFR* mutation; NGS preferred for *ALK* and *ROS1*. [†]Strongly suggest tissue sparing to facilitate participation in clinical trials. [‡]While NGS is preferred, based on availability, other validated assays are acceptable. BSC, best supportive care; ctDNA, circulating tumor DNA; IHC, immunohistochemistry; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PCR, polymerase chain reaction; PD-L1, programmed death ligand 1; TKI, tyrosine kinase inhibitor.

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1. Pennell NA et al. Am Soc Clin Oncol Educ Book. 2019;39:531–542. 2. Adapted with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Non-Small Cell Lung Cancer V.2.2023. © 2023 National Comprehensive Cancer Network, Inc. All rights reserved. The NCCN Guidelines[®] and illustrations herein may not be reproduced in any form for any purpose without the express written permission of NCCN. To view the most recent and complete version of the NCCN Guidelines, go online to NCCN.org. The NCCN Guidelines are a work in progress that may be refined as often as new significant data becomes available.

Interpreting biomarker test results



Depending on the testing approach and the facility, testing results may be reported differently, and results may include genes tested, probes used, qualitative data, and quantitative data.¹

However, there have been efforts to standardize reports through templates.¹

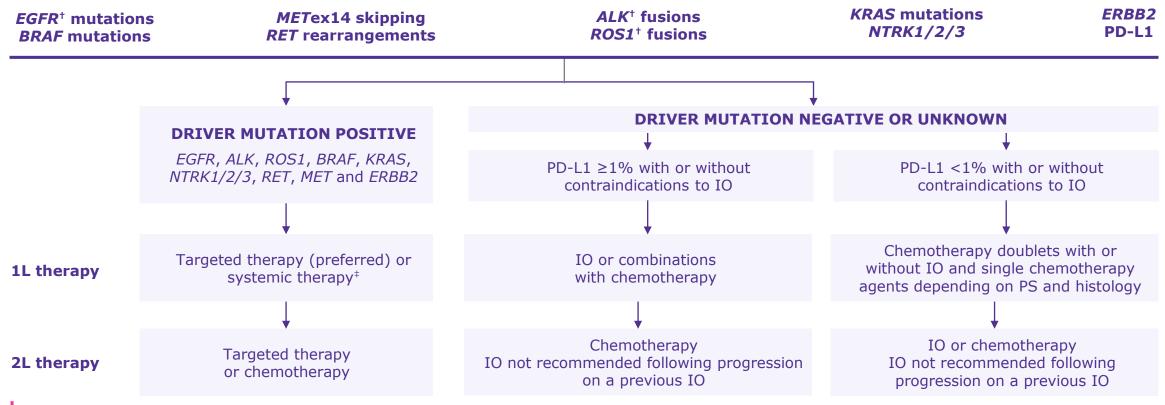
NGS reports may include²:

- A top-line summary of the key findings
- Clinically relevant biomarkers with an associated FDA-approved therapy
- Biomarkers that are potentially relevant but without a clear consensus
- Negative results that are clinically relevant but have not been identified
- A list of clinical trials for which a patient may be eligible based on the presence of an identified biomarker



NCCN Guidelines overview for advanced or metastatic NSCLC^{1,*}

VALIDATED TESTING SHOULD ASSESS A MINIMUM OF:



When patients do not have an identifiable driver oncogene, broad panel testing with RNA-based NGS should be considered to maximize detection of fusion events¹

*See the NCCN Guidelines[®] for detailed recommendations, including treatment regimens.¹[†]Considered must test biomarkers by CAP-IASLC molecular testing guidelines.²[‡]Chemotherapy ± immunotherapy regimens are recommended as first-line therapy for patients with *KRAS* mutations or *ERBB2* mutations.

1L, first line; 2L, second line; IO, immuno-oncology; NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PD-L1, programmed death ligand 1; PS, performance status. 1. Adapted with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Non-Small Cell Lung Cancer V.2.2023. © 2023 National Comprehensive Cancer Network, Inc. All rights reserved. The NCCN Guidelines[®] and

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Summary



NSCLC is both **histologically and** genetically diverse¹



Current actionable biomarkers for eligible patients with metastatic NSCLC according to the **NCCN include EGFR**, **ALK**, **ROS1**, **BRAF**, **METex14 skipping mutations**, **RET**, **KRAS**, **ERBB2**, **NTRK1/2/3**, **and PD-L1**; the NCCN recommends that when feasible, molecular testing be performed via a broad, panel-based approach²



If tissue quantity and testing methods limit testing for all recommended biomarkers during initial diagnosis of metastatic NSCLC, **repeat biopsy or cfDNA/ctDNA testing should be done**²



Biomarkers can be assessed via well-characterized techniques such as NGS, RT-PCR, PCR, FISH, and IHC, with assay selection depending on biomarker^{2,3}



Biomarker testing can help **guide patient management and treatment** for eligible patients with metastatic NSCLC²



Broad, panel-based testing can provide a view of the patient's biomarker profile without high tissue demands of sequential testing^{2,3}

cfDNA, cell-free DNA; ctDNA, circulating tumor DNA; FISH, fluorescence in situ hybridization; IHC, immunohistochemistry; METex14, MET exon 14; NCCN, National Comprehensive Cancer Network; NGS, next-generation sequencing; NSCLC, non-small cell lung cancer; PCR, polymerase chain reaction; PD-L1, programmed-death ligand 1; RT-PCR, reverse transcription PCR.

1. Lungevity. Types of Lung Cancer. https://www.lungevity.org/for-patients-caregivers/lung-cancer-101/types-of-lung-cancer. Accessed March 2, 2023. 2. Adapted with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines[®]) for Non-Small Cell Lung Cancer V.2.2023. (© 2023 National Comprehensive Cancer Network, Inc. All rights reserved. The NCCN Guidelines[®] and illustrations herein may not be reproduced in any form for any purpose without the express written permission of NCCN. To view the most recent and complete version of the NCCN Guidelines, go online to NCCN.org. The NCCN Guidelines are a work in progress that may be refined as often as new significant data becomes available. 3. Lindeman NI, et al. J Thorac Oncol. 2018;13(3):323-358.

